

mk
10/26/98



22883

PATENT TRADEMARK OFFICE

CASH-001

09/093,533

HF

DECLARATION

As a below-named inventor, I hereby declare that:

My correct residence, post office address and citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

Network Object Cache Engine

The specification of this subject matter:

- ☐ is attached hereto.
- x was filed on June 8, 1998;
- was assigned Serial No. 09/093,533;
- which was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified patent application, including the claims, as amended by any amendment(s) referred to above. I believe the subject matter claimed in the above-identified application to be new and to be unobvious to persons of ordinary skill in the art in view of the prior art of which I am aware. I further hereby state that the specification of the above-identified patent application adequately describes how to make and use the claimed invention, and further that it sets forth the best mode for practicing the invention known to me as of the date that the application was filed. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) or patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Application No.	Country	Filing Date	Priority Claimed
-----------------	---------	-------------	------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.	Filing Date	Status (Issued, Pending, Abandoned)
-----------------	-------------	-------------------------------------

FULL NAME OF INVENTOR 1	FIRST Name	MIDDLE Initial(s)	LAST Name
	Michael		Malcolm

RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
	Woodside	CA	United State

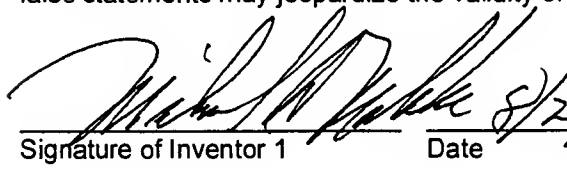
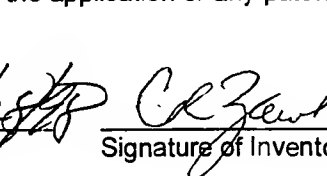
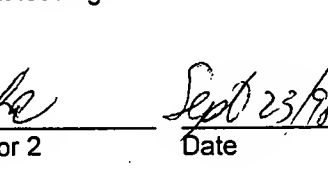
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	250 Family Farm Road	Woodside	CA	94062

FULL NAME OF INVENTOR 2	FIRST Name	MIDDLE Initial(s)	LAST Name
	Robert		Zarnke

RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
	Waterloo	Ontario	Canada

POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	383 Parkgreen Place	Waterloo	Ontario	N2L 5S6

I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

		
Signature of Inventor 1	Date	Signature of Inventor 2
	8/28/98	Sept 23/98

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ **New**

☐ **Resubmission (Non-Recordation)**
Document ID#

☐ **Correction of PTO Error**
Reel # Frame #

☐ **Corrective Document**
Reel # Frame #

Conveyance Type

☒ **Assignment** ☐ **Security Agreement**

☐ **License** ☐ **Change of Name**

☐ **Merger** ☐ **Other**

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)

☐ **Mark if additional names of conveying parties attached**

Execution Date
Month Day Year
Nov. 10, 1998

Name (line 1)

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year
Nov. 18, 1998

Name (line 2)

Receiving Party

☐ **Mark if additional names of receiving parties attached**

☐ **If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

CA

94086

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

**Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231**

Correspondent Name and Address

Area Code and Telephone Number

Name

Reg. No.

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☒

No

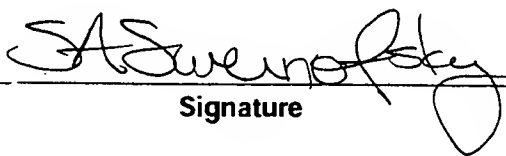
☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Steven A. Swernofsky

Name of Person Signing



Signature

Jan. 21, 1999

Date

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

No. 5907

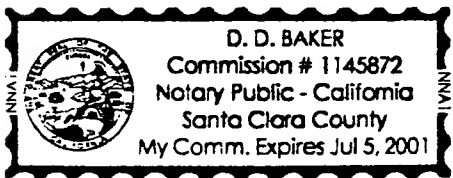
State of California

County of Santa Clara

On Nov. 10, 1998 before me, Desirée D. Baker, Notary Public,
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

personally appeared Michael Malcolm,
NAME(S) OF SIGNER(S)

☐ personally known to me - **OR** - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

D. D. Baker
SIGNATURE OF NOTARY

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☒ INDIVIDUAL
☐ CORPORATE OFFICER
TITLE(S)
- ☐ PARTNER(S) ☐ LIMITED
☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER: _____

DESCRIPTION OF ATTACHED DOCUMENT

Assignment
TITLE OR TYPE OF DOCUMENT

1
NUMBER OF PAGES

11-10-98
DATE OF DOCUMENT

SIGNER IS REPRESENTING:

NAME OF PERSON(S) OR ENTITY(IES)
Self

Robert Zarnke
SIGNER(S) OTHER THAN NAMED ABOVE

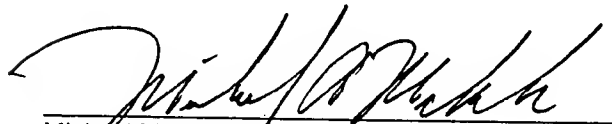
ASSIGNMENT

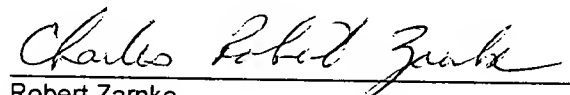
WHEREAS, we, Michael Malcolm, a citizen of the United States, residing at 521 Shelby Lane, Los Altos, California, and Robert Zarnke, a citizen of Canada, residing at 383 Parkgreen Place, Waterloo, Ontario N2L 5S6, Canada, have invented a "Network Object Cache Engine" for which we have executed application papers for a U.S. patent thereon, which was filed on June 8, 1998, Serial No. 09/093,533; and

WHEREAS, CacheFlow, Inc., corporation, having a place of business at 650 Almanor Ave., Sunnyvale, California 94086, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Michael Malcolm and Robert Zarnke, do sell, assign, transfer and set over unto the said CachFlow, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said CacheFlow, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at _____, this _____ day of _____, 1998.


Michael Malcolm


Robert Zarnke

STATE OF WASHINGTON)
) ss.
COUNTY OF _____)

On this _____ day of _____, 1998, before me, _____, a Notary Public, personally appeared Michael Malcolm and Robert Zarnke personally known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons or the entity upon behalf of which the persons acted, executed the instrument.

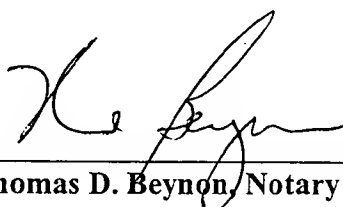
WITNESS my hand and official seal.

NOTARY PUBLIC

PROVINCE OF ONTARIO, CANADA)
Regional Municipality of Waterloo)
)

On this 18th day of November, 1998, before me, Thomas D. Beynon, a Notary Public, personally appeared Robert Zarnke personally known to me to be one of the persons whose name is subscribed in that certain Assignment made by Michael Malcolm and Robert Zarnke in favour of Cacheflow, Inc. being an Assignment of their interest in the application for a U.S. Patent filed on June 8, 1998, having Serial No. 09/093,533 and he has acknowledged to me that he has executed the same in his personal capacity and that by his signature on the instrument he has executed the said Assignment.

WITNESS my hand and official seal.



Thomas D. Beynon, Notary Public